SEC Form 4
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# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287								
Estimated average burden								
hours per respo	onse: 0.5							

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 Instruction 1(b) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) Privia Health Group, Inc. [ PRVA ] Butler Jeffrey B X Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) (Middle) below) below) (Last) (First) 09/22/2022 PRIVIA HEALTH GROUP, INC. 950 N. GLEBE RD., SUITE 700. 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) X Form filed by One Reporting Person 22203 ARLINGTON VA Form filed by More than One Reporting Person (City) (State) (Zip)

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Table 1 - Non-Derivative Securities Acquired, Disposed of, or Denenciary Owned											
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock, \$0.01 par value per share	07/25/2022		<b>G</b> <sup>(1)</sup>	v	200,000	D	\$0	3,459,637	D		
Common Stock, \$0.01 par value per share	09/22/2022		<b>S</b> <sup>(2)</sup>		40,542	D	\$33.91(3)	3,419,095	D		
Common Stock, \$0.01 par value per share	09/22/2022		<b>S</b> <sup>(2)</sup>		48,049	D	\$34.95(4)	3,371,046	D		
Common Stock, \$0.01 par value per share	09/22/2022		<b>S</b> <sup>(2)</sup>		49,189	D	\$35.5 <sup>(5)</sup>	3,321,857	D		
Common Stock, \$0.01 par value per share	09/22/2022		<b>S</b> <sup>(2)</sup>		2,220	D	\$36.49(6)	3,319,637	D		
Common Stock, \$0.01 par value per share								870,100	I	Held indirectly through Butler Family Trust	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Derivative Conversion Date Execution Date, Expiration Date Amount of Derivative derivative Transaction Ownership of Indirect (Month/Dav/Year) Security (Instr. 3) if any (Month/Day/Year) Derivative Security (Instr. 5) or Exercise Price of Code (Instr. (Month/Day/Year) Securities Securities Form: Beneficial Ownership 8) Direct (D) Securities Underlying Beneficially or Indirect (I) (Instr. 4) (Instr. 4) Derivative Acquired Derivative Owned Security (A) or Security (Instr. 3 and 4) Following Disposed Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration v Exercisable (A) (D) Title Shares Code Date

### Explanation of Responses:

1. On July 25, 2022, the reporting person transferred 200,000 shares of the Issuer's common stock to the Butler Ventures Fund, Inc., a charitable foundation of which the reporting person and his spouse are directors. The reporting person has voting and investment power over the securities owned by the foundation.

2. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 18, 2022.

3. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$33.32 to \$34.30 per share. The reporting person undertakes to provide to Privia Health Group, Inc., any security holder of Privia Health Group, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

4. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$34.32 to \$35.31 per share. The reporting person undertakes to provide to Privia Health Group, Inc., any security holder of Privia Health Group, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

5. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$35.32 to \$36.28 per share. The reporting person undertakes to provide to Privia Health Group, Inc., any security holder of Privia Health Group, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

6. The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$36.32 to \$36.90 per share. The reporting person undertakes to provide to Privia Health Group, Inc., any security holder of Privia Health Group, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.

#### **Remarks:**

## attorney-in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.